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*** CONFIDENTIAL FACSIMILE ***

Date: January 3, 2005

From: Jeffrey S. Schubert **Tel:** (512) 288-6635
Reg. No. 43,098

To: Corrected Filing Receipt
Requests

Company: USPTO **Fax:** 703-746-9195

Sender's Ref: AUS920030782US1(4039) **Pages (incl. this page):** 5

Recipient's Ref: 10/821,051

Subject: REQUEST FOR CORRECTED FILING RECEIPT

Notes:

- (1) Request for Corrected Filing Receipt (2 pgs.); and
- (2) Copy of Filing Receipt underlined to indicate the requested correction(s) (2 pgs.).

Thank you,
SCHUBERT & ASSOCIATES PLLC

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

William Bornstein et al.

Serial No.: 10/821,051


Date Filed: April 8, 2004

Title: Systems and Methods for
Improving Audits§
§
§
§
§
§
§

Group Art Unit: 3627

Examiner: Not Yet Assigned

Confirmation No.: 9655

IBM Docket No.: AUS920030782US1
(4039)COMMISSIONER FOR PATENTS
P. O. Box 1450
Alexandria, VA 22313-1450**CERTIFICATE OF FACSIMILE**I hereby certify that, on the date shown below, this correspondence is
being transmitted via facsimile to the U.S. Patent & Trademark Office,
Request for Corrected Filing Receipt at facsimile no: 703-746-9195.

Signature

Jan 3, 2005

Date

REQUEST FOR CORRECTED FILING RECEIPTApplicants hereby request the Commissioner of Patent and Trademarks to correct the
Official Filing Receipt for the above-identified patent application as follows:

- ☐ Correct the Filing Date
☐ Correct Continuing Data
☐ Correct Applicant(s)
☐ Correct Title
☒ Correct Attorney Docket Number
☐ Correct Assignee Data
☐ Correct Publication Data
☐ Other: _____

This Request is submitted for the following reasons:

The Attorney Docket No. should be corrected to read as follows:

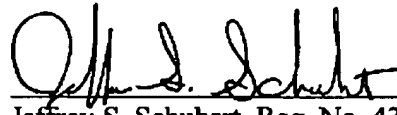
AUS920030782US1(4039) in lieu of that previously shown.

Attached is a copy of the Filing Receipt underlined to indicate the requested correction(s). Therefore, it is respectfully requested that a corrected Filing Receipt be issued.

If this request does not lead to the correction of the Filing Receipt, please contact the Applicant's attorney at the telephone number indicated below.

Respectfully submitted,

Date: Jan 3, 2005



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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/821,051	04/08/2004	3627	1086	AUS920030782US1(4039)	6	28	5

CONFIRMATION NO. 9655

38518
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FILING RECEIPT



OC000000013005715

Date Mailed: 06/21/2004

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

William Bornstein, Stormville, NY;
 Anthony Cappa Spielberg, Austin, TX;

Assignment For Published Patent Application

International Business Machines Corporation, Armonk, NY;

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted: 06/20/2004

Projected Publication Date: 10/13/2005

Non-Publication Request: No

Early Publication Request: No

Title

Systems and methods for improving audits

Preliminary Class
705

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Title 37, Code of Federal Regulations, 5.11 & 5.15

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